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| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | | Attorney Docket No. UGR-100XD1 First Inventor Michael J. Adang Title Phase Display of a Biologically Active Bacillus thuringiensis Toxin Express Mail Label No. EL 972392204 US | |
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| APPLICATION ELEMENTS | | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | |
|--|--|--|--|
| <small>See MPEP chapter 600 concerning utility patent application contents.</small> | | | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> | | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27</small> | | 8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> | |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 45] | | a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper c. <input checked="" type="checkbox"/> Statements verifying identity of above copies | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 4] | | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 5. Oath or Declaration [Total Pages 4] | | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <small>(when there is an assignee)</small> Attorney | |
| a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> | | 11. <input type="checkbox"/> English Translation Document (if applicable) | |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, 37 CFR 1.63(d)(2) and 1.33(b).</small> | | 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations | |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | | 13. <input type="checkbox"/> Preliminary Amendment | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part (CIP) | | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> | |
| | | 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> | |
| | | 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | |
| | | 17. <input checked="" type="checkbox"/> Other: <u>Cert. Of Express Mailing</u> | |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

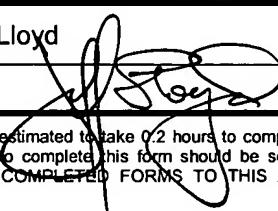
Continuation Divisional Continuation-in-Part (CIP) of prior application No: 09/629,596

Prior application information: Examiner: Padmashri Ponnsuri Group Art Unit: 1639

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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| Name (Print/Type) | <u>Jeff Lloyd</u> | Registration No. (Attorney/Agent) | <u>35,589</u> |
| Signature |  | | |
| | Date <u>April 20, 2004</u> | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, DC 20231.

DOCKET NO. UGR-100XD117548 U.S.PTO
10/828919**FEE TRANSMITTAL FORM**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Michael J. Adang, Laura M. Kasman

Entitled: Phase Display of a Biologically Active *Bacillus thuringiensis* Toxin

- A Utility Patent Application Transmittal Form accompanies this Fee Transmittal Form.
- The filing fee is calculated below:

CLAIMS AS FILED

| | Number filed | Number Extra | Rate | Fee |
|---|--------------|--------------|------------------|-----------|
| Basic Fee | | | | \$ 385.00 |
| Total Claims | 19 - 20 = | -0- | x \$9 | \$ -0- |
| Independent Claims | 7 - 3 = | 4 | x \$43 | \$ 172.00 |
| Presentation of Multiple Dependent Claim(s) (\$145) | | | | \$ |
| | | | Total Filing Fee | \$ 557.00 |

- Please charge \$ 557.00 to Deposit Account No. 19-0065. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any additional filing fees which may be required, or credit any overpayment, to Deposit Account No. 19-0065. A duplicate copy of this sheet is enclosed.
- This application is being mailed by Express Mail under 37 CFR 1.10 and the required certificate appears below.

April 20, 2004
Date

Attorney of Record

CERTIFICATE OF MAILING BY EXPRESS MAIL (37 CFR 1.10)

Express Mail No.: EL972392204US Date of Deposit: April 20, 2004

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Lana Wilson
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